



pennsylvania
DEPARTMENT OF REVENUE
BUREAU OF INDIVIDUAL TAXES
PO BOX 280601
HARRISBURG PA 17128-0601

NOTICE OF TRANSFER
(FOR STOCKS, BONDS, SECURITIES
OR SECURITY ACCOUNTS HELD IN
BENEFICIARY FORM)

SEE REVERSE FOR INSTRUCTIONS

SECTION 1. DECEDENT INFORMATION

DECEDENT NAME: LAST	FIRST	MI
DECEDENT SOCIAL SECURITY NUMBER	DECEDENT DATE OF DEATH (MM-DD-YYYY)	
DECEDENT STREET ADDRESS	CITY	STATE ZIP COUNTY

SECTION 2. CORPORATION, FINANCIAL INSTITUTION OR BROKER INFORMATION

NAME OF CORPORATION, FINANCIAL INSTITUTION, BROKER OR SIMILAR ENTITY	TELEPHONE NUMBER
FIRM STREET ADDRESS	CITY STATE ZIP

SECTION 3. ACCOUNT INFORMATION

ACCOUNT TITLE	ACCOUNT NUMBER	ACCOUNT BALANCE (Include accrued interest through date of death)	NUMBER OF BENEFICIARIES
ACCOUNT TYPE: <input type="checkbox"/> CAPITAL STOCK <input type="checkbox"/> REGISTERED BOND <input type="checkbox"/> SECURITY ACCOUNT <input type="checkbox"/> OTHER _____			

SECTION 4. BENEFICIARY INFORMATION (List additional beneficiaries on a separate sheet of paper.)

NAME: LAST	FIRST	MI	RELATIONSHIP TO DECEDENT	BENEFICIARY SSN	_____ PERCENT TAXABLE
STREET			CITY	STATE	ZIP
NAME: LAST	FIRST	MI	RELATIONSHIP TO DECEDENT	BENEFICIARY SSN	_____ PERCENT TAXABLE
STREET			CITY	STATE	ZIP
NAME: LAST	FIRST	MI	RELATIONSHIP TO DECEDENT	BENEFICIARY SSN	_____ PERCENT TAXABLE
STREET			CITY	STATE	ZIP
NAME: LAST	FIRST	MI	RELATIONSHIP TO DECEDENT	BENEFICIARY SSN	_____ PERCENT TAXABLE
STREET			CITY	STATE	ZIP

SECTION 5. RECIPIENT OF LETTER/PROOF OF NOTIFICATION

NAME: LAST	FIRST	MI
STREET	CITY	STATE ZIP

SECTION 6. PREPARER INFORMATION (Complete if Name and Address is different than Recipient information above.)

NAME: LAST	FIRST	MI
STREET	CITY	STATE ZIP

PREPARER SIGNATURE

DATE

DAYTIME TELEPHONE NUMBER

INSTRUCTIONS FOR THE NOTICE OF TRANSFER

PURPOSE

Section 6411 of the Probate, Estates and Fiduciaries Code (Title 20, Chapter 64, Pennsylvania Consolidated Statutes) sets forth the requirement of reporting to the Department of Revenue the transfer of securities.

WHO MUST FILE

Corporations, financial institutions, brokers, or similar entities are required to report. **The beneficiary, trustee or representative of the estate may also notify the department if all the necessary information is available to them.**

WHAT TO REPORT

Capital stock, registered bonds, a security or a security account which are held as follows:

- Held as sole owner by the decedent with a sole beneficiary clause which controls distribution at the death of the decedent.
- Held as a sole owner by the decedent with a primary and secondary (contingent) beneficiaries clause which controls distribution at the death of the decedent.

INSTRUCTIONS

If reporting more than one account, use a separate form for reporting each account. If there is a main account made up of sub-accounts, only report the main account number and total value including the value of all sub-accounts. Assets must be reported at their value as of the decedent's date of death, including any accrued interest not yet credited or any dividend earned but not issued as of the date of death.

Once a review of the form is complete, an acknowledgment letter, confirming notification of the asset to the department, will be issued to the person or entity reported in Section 5. The letter may be used to demonstrate compliance of section 6411. To ensure timely processing, each section of this form must be completed as instructed below.

SECTION INSTRUCTIONS

Section 1: Enter the information for the decedent associated with the asset being reported.

Section 2: Enter the information of the entity that maintains the account.

Section 3: Enter the information for the asset.

Account Title: Enter the name or title of the account.

Account Number: Enter the identifying number of the account being reported.

Account Balance: Enter the value of the account including any accrued interest not yet credited or any dividend earned but not issued as of the date of death.

Number of Beneficiaries: Enter the total number of beneficiaries of the account being reported.

Account Type: Select the type of account that most closely describes the account being reported. If "Other" is used, enter the type of account in the space provided.

Section 4: Enter the information for each beneficiary of the account being reported. If additional beneficiary space is needed, use a separate sheet of paper.

Section 5: Enter the information for the person or entity who should receive the acknowledgment letter that the asset has been reported to the department.

Section 6: Enter the name and address of the person preparing this notice if the person is different from the person in Section 5. The preparer must sign and date the form and provide a daytime telephone number. If available, include a copy of the valuation report.

Mail completed form to:

**PENNSYLVANIA DEPARTMENT OF REVENUE
BUREAU OF INDIVIDUAL TAXES
INHERITANCE TAX DIVISION
PO BOX 280601
HARRISBURG PA 17128-0601**