New York State Department of Taxation and Finance

CT-33.1



Claim for CAPCO Credit

Tax Law — Article 1 and Article 33

All filers must enter tax period:

ethis form with Form CT-33, Life Insurance Corporation ethis form with Form CT-34, Life Insurance Corporation ethis form with Form CT-34, Li	company (CAF ent tax year (ente	n Combined Fran PCO) credit (see	nchise Tax Returnee instructions) e 21 if applicable;	Non-Life II	Insurance Corporatio	
rt 1 — Computation of certified capital of Allocated CAPCO credit available for the curre otherwise enter 0)	company (CAF ent tax year (ente	n Combined Fran PCO) credit (see	nchise Tax Returnee instructions) e 21 if applicable;	n.	nsurance Corporatio	n
rt 1 — Computation of certified capital of Allocated CAPCO credit available for the curre otherwise enter 0)	ent tax year (ente	PCO) credit (se	ee instructions) e 21 if applicable;			
Allocated CAPCO credit available for the curre otherwise enter 0)	ent tax year (ente	er amount from line	e 21 if applicable;		<u> </u>	
otherwise enter 0)	es for the curren					
Allocated CAPCO credit transferred to affiliate line 27 if applicable; otherwise enter 0)	es for the curren			_ 1 4		T
line 27 if applicable; otherwise enter 0)			amount from	• 1		+
Subtract line 2 from line 1		, ,		• 2		_
All 1 0 DOO 111 1						
Allocated CAPCO credit transferred from affili		• '				
line 28 if applicable; otherwise enter 0)						\perp
Total CAPCO credit from the current tax year						[
Unused CAPCO credit carryforward from the				• 6	1	
Amount of unused CAPCO credit carryforward		•				
line 29 if applicable; otherwise enter 0)						
Total CAPCO credit carryforward available from Total CAPCO credit available before recapture	•	•	,			
Recapture of CAPCO credit (enter amount from						+
Net CAPCO credit available for the current tax						
rt 2 — Computation of CAPCO credit us						
Tax (see instructions)				\bot		
Tax credits claimed before the CAPCO credit				+		
Tax after application of all other credits (subtract				+		
Minimum tax (see instructions)				+		
Limitation on CAPCO credit to be used this perform line 14)	•					
CAPCO credit to be used in the current tax ye				• 17		
Total unused CAPCO credit carryforward avail						
Amount of unused CAPCO credit carryforward		,				
if applicable; otherwise enter 0)						
Unused CAPCO credit to be carried forward to	o the next tax ye	ear (subtract line 1	19 from line 18)	• 20		
rt 3 — Allocated CAPCO credit available			,			
(Attach additional sheets if necessary) Sup	B dit allocated by the perintendent f Insurance	e % of credit available for the current tax year		in	Allocated credit ava for the current tax (column B x column	year
		0.1				\Box
		0.1		\Box		\perp
		0.1				
Total allocated CAPCO credit available for the		0.1				\rightarrow

art 4 — Computation of CAP	CO credit recap	ture				
2 100% recapture of CAPCO credit	for failure to meet red	quirement of Tax L	aw, Article 1, section	on 11(c)(1)	(A) • 2	22
8 85% recapture of CAPCO cred	it for failure to meet	requirements of	Tax Law section 1	1(c)(1)(B)	• 2	3
1 70% recapture of CAPCO cred				. , . , . ,		4
25 100% recapture of CAPCO credit if CAPCO certificate is revoked (see instructions)						
Total recapture of CAPCO cred	lit taken in previous	tax years (add lines	3 22 through 25; enter here	and on line 1	0) • 2	26
art 5 — Allocated CAPCO cr	edit transferred	to affiliates for	r the current ta	x year (see instru	uctions)
Α	В	С	D		E	F
Description of CAPCO (Attach additional sheets if necessary)	Transferee corporation name	EIN	Unused credit by the Superir		% of cred	
(Attach additional sheets if necessary)	Corporation name		of Insura	nce	for the	affiliates for the
			transferre affiliate		tax yea	,
			anniate		tax yea	(COIdITIT D X COIdITIT L)
		-				
7 Total allocated CAPCO credit t						
enter here and on line 2)	<u></u>		<u></u>		• 2	27
art 6 - Allocated CAPCO cr	edit transferred	from affiliates	for the current	tax yea	ar (see in:	structions)
Α	В	С	D		E	F
Description of CAPCO (Attach additional sheets if necessary)	Transferor	EIN	Unused credit all		% of cre- available	
	corporation name			by the Superintendent of Insurance		e transferred from affiliates for the
			transferred	from	current	current tax year
			affiliate	S	tax yea	r (column D x column E)
3 Total allocated CAPCO credit t	ransferred from aff	iliates for the cur	rent tax vear <i>(add</i>	column F		
a ioral allocated CAECO CIEON I					Ι.	
amounts; enter here and on line 4)						28
amounts; enter here and on line 4)						
amounts; enter here and on line 4) art 7 — CAPCO credit carryf	orward transferr	red from affilia	tes for the cur	rent tax	year (se	ee instructions)
amounts; enter here and on line 4) art 7 — CAPCO credit carryf	forward transferr	red from affilia	tes for the cur	rent tax	year (se	ee instructions) E Credit carryforward
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amounts; enter here and on line 4) art 7 — CAPCO credit carryf A Description of CAPCO	forward transferr	red from affilia	tes for the cur	rent tax	year (se	ee instructions) E Credit carryforward
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amounts; enter here and on line 4) art 7 — CAPCO credit carryf A Description of CAPCO (Attach additional sheets if necessary	Transferror corpora	red from affilia B nsferor ntion name affiliates for the of	tes for the current tax year (ac	Da transfe	year (see	ee instructions) E Credit carryforward
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