CT-33.1

| Staple forms here | New York State Department of Taxation and Finance

Claim for CAPCO Credit

Tax Law — Article 1 and Article 33

All filers must enter tax period:

| | | be | ginning | | ending | |
|---|--|---|---|------------------------------|---|--|
| egal name of corporation | -1 | Employer identification number (EIN) | | | | |
| ile this form with Form CT-33, <i>Life Insi</i> ranchise Tax Return, or Form CT-33-A | | | | | surance Corporation | |
| art 1 — Computation of certified | d capital company (CAP | CO) credit (see i | nstructions) | | | |
| 1 Allocated CAPCO credit available | | | | | | |
| otherwise enter 0) | | | | • 1. | | |
| 2 Allocated CAPCO credit transferre line 27 if applicable; otherwise enter 0 | | | | 2. | | |
| 3 Subtract line 2 from line 1 | | | | <u> </u> | | |
| 4 Allocated CAPCO credit transferre | | | | 0 . | | |
| line 28 if applicable; otherwise enter (| | • • | | • 4. | | |
| | 5 Total CAPCO credit from the current tax year (add lines 3 and 4) | | | | | |
| 6 Unused CAPCO credit carryforwar | d from the previous tax years | S | | • 6. | | |
| 7 Amount of unused CAPCO credit of | carryforward transferred fron | n affiliates <i>(enter ar</i> | nount from | | | |
| line 29 if applicable; otherwise enter | • | | | | | |
| 8 Total CAPCO credit carryforward a | | - | | - | | |
| 9 Total CAPCO credit available befor | | | | | | |
| Recapture of CAPCO credit (enter aNet CAPCO credit available for the | | | | | | |
| art 2 — Computation of CAPCO | - | | | • 1111 | | |
| 2 Tax (see instructions) | | | structions) | | | |
| 2 Tax isee iiisiiugiionsi | | 12. | | | | |
| | PCO credit (see instructions) | a 13 | | | | |
| 3 Tax credits claimed before the CAF | | | | | | |
| Tax credits claimed before the CAFTax after application of all other cre | edits (subtract line 13 from line 12 | 2). • 14. | | | | |
| Tax credits claimed before the CAF Tax after application of all other cre Minimum tax (see instructions) | edits (subtract line 13 from line 12 | 2). • 14. • 15. | | | | |
| Tax credits claimed before the CAF Tax after application of all other cre Minimum tax (see instructions) | edits (subtract line 13 from line 12 | 2). • 14. • 15. | | | | |
| Tax credits claimed before the CAF Tax after application of all other cre Minimum tax (see instructions) Limitation on CAPCO credit to be from line 14) | edits (subtract line 13 from line 12 used this period (subtract line | 2). • 14. • 15. • 16. | | • 17. | | |
| Tax credits claimed before the CAF Tax after application of all other cre Minimum tax (see instructions) Limitation on CAPCO credit to be from line 14) CAPCO credit to be used in the cu | edits (subtract line 13 from line 12 used this period (subtract line rrent tax year (see instructions | 2) . • 14. • 15. • 16. | | | | |
| Tax credits claimed before the CAF Tax after application of all other cre Minimum tax (see instructions) Limitation on CAPCO credit to be from line 14) CAPCO credit to be used in the cu Total unused CAPCO credit carryfo Amount of unused CAPCO credit or | edits (subtract line 13 from line 12 used this period (subtract line rrent tax year (see instructions orward available (subtract line carryforward transferred to a | 2) . • 14 15 • 16 17 from line 11) | ınt from line 3 | • 18. | | |
| Tax credits claimed before the CAF Tax after application of all other cre Minimum tax (see instructions) Limitation on CAPCO credit to be in from line 14) CAPCO credit to be used in the cut Total unused CAPCO credit carryfo Amount of unused CAPCO credit of if applicable; otherwise enter 0 | edits (subtract line 13 from line 12 used this period (subtract line rrent tax year (see instructions prward available (subtract line carryforward transferred to a | 2) . • 14 | ınt from line 3 | • 18. | | |
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| Tax credits claimed before the CAF Tax after application of all other cre Minimum tax (see instructions) Limitation on CAPCO credit to be from line 14) CAPCO credit to be used in the cu Total unused CAPCO credit carryfo Amount of unused CAPCO credit or | edits (subtract line 13 from line 12 used this period (subtract line rrent tax year (see instructions orward available (subtract line carryforward transferred to a d forward to the next tax year | 2) . • 14 | unt from line 3 | • 18. | | |
| Tax credits claimed before the CAF Tax after application of all other cre Minimum tax (see instructions) Limitation on CAPCO credit to be from line 14) CAPCO credit to be used in the cu Total unused CAPCO credit carryfo Amount of unused CAPCO credit of applicable; otherwise enter 0) Unused CAPCO credit to be carried | edits (subtract line 13 from line 12 used this period (subtract line rrent tax year (see instructions orward available (subtract line carryforward transferred to a d forward to the next tax year | 2) . • 14 | unt from line 3 | 18. 0 19 20. ed credit le in | E Allocated credit availa for the current tax ye (column B x column C | |
| Tax credits claimed before the CAF Tax after application of all other cre Minimum tax (see instructions) Limitation on CAPCO credit to be a from line 14) | edits (subtract line 13 from line 12 used this period (subtract line trent tax year (see instructions prward available (subtract line carryforward transferred to a different tax year available for the current tax year tax is available for the current tax year tax is available for the current tax year tax is available for the current tax year year year year year year year year | 2) . • 14 • 15 • 16 | om line 18) nstructions) Accumulate availab | 18. 0 19 20. ed credit le in | Allocated credit availated for the current tax years | |
| Tax credits claimed before the CAF Tax after application of all other credits Minimum tax (see instructions) Limitation on CAPCO credit to be a from line 14) CAPCO credit to be used in the cubent to a cubent to a | edits (subtract line 13 from line 12 used this period (subtract line trent tax year (see instructions prward available (subtract line carryforward transferred to a different tax year available for the current tax year tax is available for the current tax year tax is available for the current tax year tax is available for the current tax year year year year year year year year | 2) • 14 • 15 • 16 • 16 • 16 • 16 • 17 from line 11) ffilliates (enter amount of the second of the second of the second of the current tax year of the current tax year of the second of | om line 18) nstructions) Accumulate availab | 18. 0 19 20. ed credit le in | Allocated credit availated for the current tax years | |
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| 22 100% recapture of CAPCO credit | t for failure to meet re | quirement of Tax L | aw, Article 1, sec | tion 11(c)(1) |)(A) ● 22. | | |
|---|---------------------------------|---------------------|---|---|--|--|---|
| 23 85% recapture of CAPCO cred | | | | | | | |
| 24 70% recapture of CAPCO cred | • 24. | | | | | | |
| 25 100% recapture of CAPCO cre | | | | | | | |
| 26 Total recapture of CAPCO cred | dit taken in previous | tax years (add line | s 22 through 25; enter he | ere and on line | 10) • 26. | | |
| Part 5 — Allocated CAPCO cr | edit transferred | to affiliates fo | the current t | ax year | see instructio | ns) | |
| Α | В | С | D | | E | F | |
| Description of CAPCO (Attach additional sheets if necessary) | Transferee EIN corporation name | | Unused credit allocated by the Superintendent of Insurance transferred to affiliates | | % of credit available for the current tax year | Allocated credit transferred to affiliates for the current tax year (column D x column E) | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| 97 T. I. II. I. I. OADOO III. | | | | | | | |
| 27 Total allocated CAPCO credit the enter here and on line 2) | | | | | 1 1 | | |
| | | | | | | | |
| Part 6 — Allocated CAPCO cr | edit transferred | from affiliates | for the currer | nt tax yea | ar (see instru | ctions) | |
| Α | В | С | D | | E | F | |
| Description of CAPCO (Attach additional sheets if necessary) | Transferor corporation name | EIN | by the Super of Insur transferre | Unused credit allocated by the Superintendent of Insurance transferred from affiliates | | Allocated credit transferred from affiliates for the current tax year (column D x column E) | 1 |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| 28 Total allocated CAPCO credit | transforred from off | iliatos for the our | ront toy year (ad | d column F | | | |
| amounts; enter here and on line 4, | | | | | • 28. | | |
| Part 7 — CAPCO credit carry | | | | | | nstructions) | |
| A | | В | С | | D | | |
| Description of CAPCO (Attach additional sheets if necessary | Transferor corporation name | | EIN D | | ate of er or sale | Credit carryforward transferred from affiliate | s |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| 29 Total CAPCO credit carryforwa amounts; enter here and on line is | | | | | | | |
| Part 8 — CAPCO credit carry | forward transfer | ed to affiliates | for the curre | ent tax ye | ar (see instr | uctions) | |
| A | | В | | C EIN Da | | E | |
| Description of CAPCO (Attach additional sheets if necessary | Transferee corporation name | | | | ate of er or sale | Credit carryforward transferred to affiliates | |
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